

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
Axel Pfeffer

Date: December 6, 2004

Examiner: Not Yet Assigned

Appln. No.: 10/501,064
Int'l Appln. No.: PCT/US03/00693

Confirmation No. 1608

Int'l Filing Date: January 10, 2003

Docket No.: OT-4995

Title: BRAKE LINING MONITORING DEVICE AND METHOD

Commissioner for Patents
Box PCT
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Applicant is in receipt of a Notification of Missing Requirements under 35 USC §371, copy enclosed, indicating that an oath or declaration of the inventors and a surcharge must be filed.

Enclosed please find an executed Declaration, along with a Petition for a One-Month Extension of Time. Please charge the \$130.00 late surcharge and \$110.00 extension fee to our credit card. Applicant has enclosed a credit card authorization form.

Respectfully submitted,

By Sean W. O'Brien
Sean W. O'Brien
Registration No. 37,689

Otis Elevator Company
Ten Farm Springs
Farmington, CT 06032
(860) 676-5760

12/14/2004 GFREY1 00000037 10501064

01 FC:1617

130.00 0P

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

12-6-04
Date



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/501,064	Axel Pfeffer	OT-4995

26584
 OTIS ELEVATOR COMPANY
 INTELLECTUAL PROPERTY DEPARTMENT
 10 FARM SPRINGS
 FARMINGTON, CT 06032

INTERNATIONAL APPLICATION NO.	
PCT/US03/00693	
LA. FILING DATE	PRIORITY DATE
01/10/2003	01/11/2002

CONFIRMATION NO. 1608
 371 FORMALITIES LETTER



OC000000013929345

Date Mailed: 09/29/2004

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/09/2004
- Copy of the International Search Report filed on 07/09/2004
- Preliminary Amendments filed on 07/09/2004
- Information Disclosure Statements filed on 07/09/2004
- U.S. Basic National Fees filed on 07/09/2004
- Priority Documents filed on 07/09/2004



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/501,064	PCT/US03/00693	OT-4995

FORM PCT/DO/EO/905 (371 Formalities Notice)